

**OFFICE USE ONLY**

Account No.	
Agent	
Date Received	
Date Registered	
Check List	
Entered By	
Approved By	

SOFTLOGIC ASSET MANAGEMENT (PVT) LTD.  
Level 16, One Galle Face Tower, Colombo 2, Sri Lanka

Hotline : (+94) 011-2104304  
Whatsapp/ Viber : (+94) 076-4784171  
email : invest@softlogicinvest.lk



**UNIT TRUST ACCOUNT OPENING FORM INDIVIDUAL / JOINT**

**APPLICATION MUST BE FILLED IN BLOCK CAPITALS**

Tick The Appropriate Box

- Softlogic Money Market Fund   
  Softlogic Equity Fund   
  Softlogic Income Fund  
 Individual   
  Joint   
  Minor

**01. ACCOUNT HOLDERS DETAILS**

01. Title :  Mr.  Ms.  Other \_\_\_\_\_
02. Full Name (as specified in NIC/Passport) : \_\_\_\_\_  
Underline the Surname \_\_\_\_\_
04. Date of birth :
05. Gender : Male  Female
06. NIC/Passport no \_\_\_\_\_ :

**02. CONTACT DETAILS**

07. Address : \_\_\_\_\_  
\_\_\_\_\_
08. Address same as NIC?  Yes  No If NO, please submit billing proof \_\_\_\_\_  
\_\_\_\_\_
09. Mobile phone :
10. E-Mail : \_\_\_\_\_

**03. FOR JOINT ACCOUNT / MINOR DETAILS**

Following is Join account holder information with right of survivorship and signing instructions as specified below

01. Signing instructions  Jointly  Anyone
02. Title :  Mr  Ms. Other  \_\_\_\_\_
03. Surname(as specified in NIC/Passport/Birth Certificate): \_\_\_\_\_
05. Other names: \_\_\_\_\_
06. Date of birth:
07. Gender :  Male  Female
08. NIC/Passport no : \_\_\_\_\_
09. Mobile :

"In case of a minor, please submit copy of birth certificate"



## 10. SPECIAL INSTRUCTIONS

1.I authorize Softlogic Asset Management (Pvt) Ltd to accept email instruction from me

Yes  No

2.I would like to link my Softlogic Stockbrokers (Pvt) Ltd. account with this Unit Trust account

Yes  No

Softlogic Stockbrokers Acc No ARR-.....

I/We hereby declare that the information given is true and correct and agree to give notice in writing of any change of particulars given.

I / We confirm that I have read and understood the KIDD (key investor information document) of Softlogic Asset Management(Pvt) Ltd and fully aware of the investment risks involved.

Signature of Applicant : \_\_\_\_\_

Date : \_\_\_\_\_

Signature of Joint Applicant : \_\_\_\_\_

Date : \_\_\_\_\_

### "Financial Intelligence Unit (FIU) Definition for PEP (Politically Exposed Persons)

A **PEP** is an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individuals. In addition to the above an Immediate Family member or Close Associate of a **PEP** will also be designated as a **PEP**.

### What is FATCA?

The Foreign Account Tax Compliance Act (**FATCA**) is a U.S. law that requires financial institutions to identify and report financial accounts held by U.S. citizens and residents to the U.S. tax authorities. If you are a U.S. citizen or resident, please fill out the **FATCA** form as required.