OFFICE USE ONLY		
Account No.		
Agent		
Date Received		
Date Registered		
Check List		
Entered By		
Approved By		

SOFTLOGIC ASSET MANAGEMENT (PVT) LTD. Level 16, One Galle Face Tower, Colombo 2, Sri Lanka

Hotline : (+94) 011-2104304 Whatsapp/Viber : (+94) 076-4784171 email : invest@softlogicinvest.lk



UNIT TRUST ACCOUNT OPENING FORM INDIVIDUAL / JOINT

APPLICATION MUST BE FILLED IN BLOCK CAPITALS

Tick The Appropriate Box

Softlogic Money Market Fund Softlogic Equity Fund	Softlogic Income Fund
Individual Joint Minor	
01. ACCOUNT HOLDERS DETAILS	
01. Title : Mr. Ms. Other	
02. Full Name (as specified in NIC/Passport) : Underline the Surname	
04. Date of birth : D D M M Y Y Y Y	
05. Gender : Male 🗌 Female 🗌	
06. NIC/Passport no	:
02. CONTACT DETAILS	
07. Address :	
	roof
09. Mobile phone :	
10. E-Mail :	

03. FOR JOINT ACCOUNT / MINOR DETAILS

Following is Join account holder information with right of survivorship and signing instructions as specified below

01.	Signing instructions Jointly Anyone	
02.	Title : Mr Ms. Other	
03.	Surname(as specified in NIC/Passport/Birth Certificate):	
05.	Other names:	
06.	Date of birth : D D M M Y Y Y Y O 07.Gender : Male Female	
08.	NIC/Passport no :	
09.	Mobile :	
"In d	ase of a minor, please submit copy of birth certificate"	

04. REDEMPTION PAYOUT INSTRUCTIONS

Bank Name :	Branch :
Account No :	
Account Holder's Name :	
05.EMPLOYMENT AND FINANCIAL INFORMATION	
PRIMARY	JOINT HOLDER
Confirmed employment: Yes No Occupation : Salaried Self-employed Salaried Housewife Other [Please specify]	Confirmed employment: Yes No Occupation : Salaried Self-employed Salaried Housewife Other [Please specify]
05. Name of the employer/business :	05. Name of the employer/business :
06. Address of the employer/business :	06. Address of the employer/business :
Salary Income I I Business profits I I	nterest Income form
07.PURPOSE OF ACCOUNT OPENING :	
Investment Saving	
08.FINANCIAL INFORMATION Expected value of investment per annum (LKR):	
5,000 to 100,000 100,000 100,000 1,000,000 1,000	0,000 to 10,000,000 Above 10,000,000
09.ARE YOU: 01. Involved in any businesses/professional activities of If yes specify	
	No
[a PEP is a person who has been entrusted with a prominer	nt public function related to/connected to such a person]
If yes specify	
03. An individual under the Foreign Account Tax Compli 04. A Dual Citizen? Yes No	ance act (FATCA) of US? Yes No

10. SPECIAL INSTRUCTIONS

1.I authorize Softlogic Asset Management (Pvt) Ltd to accept email instruction from me

Ves No

2.I would like to link my Softlogic Stockbrokers (Pvt) Ltd. account with this Unit Trust account

()Yes ()No

Softlogic Stockbrokers Acc No ARR-.....

I/We hereby declare that the information given is true and correct and agree to give notice in writing of any change of particulars given.

I / We confirm that I have read and understood the KIDD (key investor information document) of Softlogic Asset Management(Pvt) Ltd and fully aware of the investment risks involved.

Date :____

Date :_____

Signature of Applicant : _____

Signature of Joint Applicant : _____

"Financial Intelligence Unit (FIU) Definition for PEP (Politically Exposed Persons)

A **PEP** is an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individuals. In addition to the above an Immediate Family member or Close Associate of a **PEP** will also be designated as a **PEP**.

What is FATCA?

The Foreign Account Tax Compliance Act **(FATCA)** is a U.S. law that requires financial institutions to identify and report financial accounts held by U.S. citizens and residents to the U.S. tax authorities. If you are a U.S. citizen or resident, please fill out the **FATCA** form as required.